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S. H. W.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joseph S. HAYDEN *et al.* ✓

Serial No.: 09/492,178 ✓

Filed: January 27, 2000 ✓

For: OPTICAL WAVEGUIDES ON GLASS SUBSTRATES AND LASERS
FORMED THEREFROM ✓



Examiner: Unassigned

Group Art Unit: 2874 ✓

Handwritten notes: #5, 9/8/00, Cee, HDS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

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Sir:

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This Information Disclosure Statement is being submitted in connection with Applicants' continuing duty of disclosure under 37 C.F.R. §1.56.

This statement is being filed before the mailing date of the first office action on the merits in accordance with 37 C.F.R. §1.97(b) and, thus, no fee is required herein.

Further, pursuant to the following certification, no fee is due herein.

Certification

In accordance with 37 C.F.R. §1.97(c), it is hereby certified that the subject disclosures were cited in a communication from a foreign patent office in a counterpart or closely related foreign application less than three months prior to the filing of this statement. Attached are copies of the foreign patent office communications verifying the dates of their mailing within the past three months.

Listing of the References

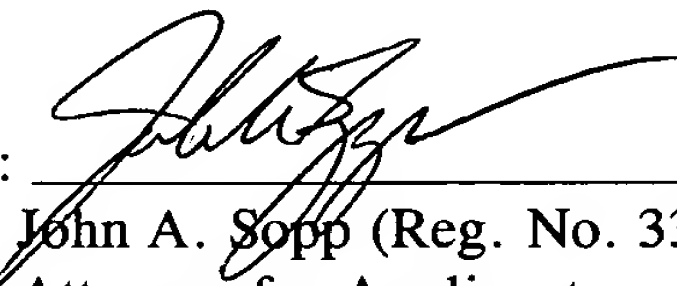
See the attached form PTO-1449. Copies of the cited documents are attached.

Explanation of the Relevancy of the Cited Disclosures

All of the cited references are in the English language in accordance with 37 C.F.R. §1.98. The references were cited in the International Search Report for a corresponding or closely related corresponding application, references cited in those reports which are already of record herein are not redundantly included.

Respectfully submitted,

By: _____



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